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(54) Title: PROCESS FOR THE PREPARATION OF A GRANULAR MICROBIAL BIOMASS AND ISOLATION OF VALUABLE COMPOUNDS THEREFROM			
(57) Abstract			
<p>A process for the isolation of desired compound(s) from a microbial biomass is disclosed, wherein the microbial biomass (which, if necessary, is pretreated to give a dry matter content of from 25 to 80 %) is granulated (e.g. by extrusion) and then dried to a dry matter content of at least 80 %. The granulation of the biomass to granules significantly eases subsequent drying of the biomass (which can be stored as dried granules) and gives higher yields on extraction of the compound(s).</p>			

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PROCESS FOR THE PREPARATION OF A GRANULAR MICROBIAL  
BIOMASS AND ISOLATION OF VALUABLE COMPOUNDS THEREFROM

5

Field of the invention

The present invention relates to a process for treating microbial biomass (generally a micro-organism-containing product  
10 resulting from fermentation) which may allow the isolation of useful or valuable compound(s) from the resulting biomass to be improved. The process can involve granulating the biomass (e.g. by extrusion) before recovery of the compound(s).

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Background of the invention

For some time now microorganisms have been known as valuable sources for a varied range of products. Several of these microbial products are located inside, or are associated  
20 with, the microbial cell. To recover such products in a relatively pure form, it is necessary to separate the product from the microbial biomass. For instance, in order to isolate lipophilic compounds from microbial biomass, usually an extraction (leaching) step with an organic solvent or a  
25 supercritical fluid (e.g. liquid CO<sub>2</sub>) is performed.

The biomass results from fermentation and can be used for product extraction. It is usually a wet cell cake but various pretreatments cause significant disruption of the cells. Cell  
30 disruption can occur by physical treatment (e.g. drying, such as spray drying or lyophilization, and/or mechanical disintegration (such as by homogenisation or milling), by chemical (acid or alkali) or by enzymatical treatment. Drying of the biomass is desirable to reduce the amount of solvents and, in the case of extraction of lipophilic compounds, to  
35 prevent troublesome emulsions.

Usually spray drying is used to obtain biomass which is then ready for extraction. The drying by spray-driers requires

the following conditions: in order to feed the dryer, the biomass should be a (concentrated) liquid or slurry with small particles (to enable the spraying by a disc or a nozzle). This means that for a microbial biomass the maximum dry matter content of the slurry is limited to approximately 20-30%, resulting in a subsequent drying step, to reach a dry matter content of 90-95%, that will be relatively expensive.

The spray drying process is relatively short in terms of time. However, the product temperature in most cases is (relatively) high. This means that there is a high oxidation and subsequent degradation risk for oxidation-sensitive compounds. In case of a biomass with high amounts of intracellular lipid material, the drying is sometimes troublesome due to "sweating" of the lipid from the biomass at higher temperatures. In this case fouling of the dryer will occur.

Another drawback of spray drying is that this significantly limits the type of extraction process which can be used subsequently to isolate a desired compound. It is usually impossible to apply, for example, percolation extraction techniques on fine-powdered products such as spray dried biomass. Mechanical disruption of the microbial biomass, such as by milling, also has the same drawback of producing a large amount of fines (or dust).

UK patent no. GB 1 466 853 relates to a process to isolate oil from spray-dried yeast powder. The yeast powder is wetted and heated by steam at 80°C in a cooker. The wet powder was then fed into a palletising machine, converted to pellets, flaked and dried again to reduce the moisture content to 5-10% by weight. The resulting flakes then were filtered by a screen and the were recycled to the pelletiser. This material was suitable for percolation extraction. However, the method has the disadvantage that the powder, once it has been spray-dried, needs to be rehydrated, treated at high temperatures and then redried. For thermo- and/or oxidation-sensitive compounds, such adverse treatment steps results in degradation and hence poor yields.

International patent application WO-A-93/25644 (equivalent

to US 5,539,133) refers to a method for the isolation of lipids with a high content of polyunsaturated fatty acids (PUFAs) from macro- and/or micro-algae (a type of seaweed), where times the macroalgae can be residues from alginate or carrageenan extraction. The material derived from algae have particle sizes of less than 50  $\mu$ m and a dry matter content of more than 50%. With algal material having a particle size larger and/or a dry matter content less than the indicated values, the material needs to be pretreated by milling and/or drying. However, as indicated above, milling is disadvantageous for an efficient extraction and refining process.

DD-A-150627 refers to preparing a yeast (or bacteria) containing biomass which is dried, and then made into large granules (8-12 mm, apparently spherical). These have a water content of 20%, and are then milled. Extraction is performed using petroleum ether to reclaim unwanted diesel (or hydrocarbons) that were used as a carbon source for the microorganisms. The remaining residue is used as an animal feed.

DE-A-1923529 refers to a biomass which is subjected to evaporation before being dried on a fluid bed to produce granules. These are then milled or crumbled. The residual oil (as a contaminant) is then extracted. In common with the previous document, the substance being extracted is an impurity, and is not produced by the microorganisms contained in the biomass.

EP-A-0322227 refers to a process for production of arachidonic acid (ARA) and gamma-linoleic acid (GLA) by feeding a microorganism with sesame oil.

US patent no. 5,340,594 refers to the production of highly unsaturated fatty acids from fungi. The biomass can be mixed with ground corn, and the resulting product used as an animal feed.

WO-A-92/12711 refers to blends of microbial oils, particularly as supplements for infant formula, where the biomass is filtered and squeezed on a filter to produce a filter cake, which is then freeze dried.

The present invention seeks to provide a process of extraction of desired compounds from a biomass that may that solve some or all of problems present in the prior art.

5                   Description of the invention

According to a first aspect of the present invention, there is provided a process for the isolation of one or more compound (s) from a microbial biomass comprising a microorganism that has  
10 produced one of the compound(s), the process comprising:

- a) providing, or obtaining, a biomass with a dry matter content of from 25% to 80%;
- b) granulating the biomass into granular particles having an average dry matter content of from 25% to  
15 80%;
- c) drying the granular particles to produce dried granules having an average dry matter content of at least 80%; and
- d) purifying, extracting or isolating the or each  
20 compound from the dried granules resulting from (c).

It has been surprisingly found that by using dried granules of the biomass a higher yield than expected of the compound to be isolated can be achieved. This is thought to be due to the  
25 structure of the granules which can maximise access of the solvent to be used for the extraction. Of course, if the particles are too large, then the surface area may be lower, resulting in a correspondingly lower yield. However, the particles should not be too small otherwise they may clog the  
30 filter that is used during extraction. For this reason, the process of the invention does not include a milling, flaking or comminuting step or stages.

The water content at various stages can also influence yields. Too high a dry matter content, and the biomass will  
35 crumble and may form fines or dust, which is disadvantageous if a filtration extraction method is employed. However, too high a water content and one obtains a slurry that is too wet to be

made into granules.

Processes for granulating matter are known in the art. However, they are often combined with milling or flaking at some stage, which gives the disadvantages as discussed above. In the  
5 present invention, it is the dried granules that are used for extraction of the compound, and not a milled or flaked form. In addition, by granulation, damage to the cells in the biomass may be minimized, which again can help increase yields of the compound. In US 5,340,594 extrusion of a biomass is disclosed,  
10 but here the extruded form is used as an animal feed: there was no appreciation that the granular form would give high yields on extraction of a particular compound from that granular form.

By processing the biomass into granular particles, one can assist the drying process. Drying can be considerably easier  
15 and more efficient after the biomass has been processed into a granular form.

In addition, the dried granules have been found to be particularly stable, especially at ambient or room temperatures. The biomass can be stored for a considerable length of time in  
20 this form, without degradation. Although not wishing to be bound by theory, it is suspected that this occurs because the compound is located inside the granules and therefore at least partially protected from the environment, which can, for certain compounds, cause degradation by oxidation.

According to a second aspect of the invention, there is provided a composition comprising granular particles of biomass, the particles having an average dry matter content of at least 30%, but less than 70%, and having been obtained by granulating biomass. Such granular particles can be obtained by using the  
30 process of the first aspect, and resulting from the granulating stage (b).

According to a third aspect of the invention, there is provided a composition which comprises dried granules, the granules being derived from a microbial biomass, and having (an  
35 average) dry matter content of at least 80%. These granules can be obtained by using the process of the first aspect, and resulting after the drying stage (c). Such compositions have

been found to be a particularly stable form of biomass. It can be stored for weeks, if not years (e.g. at room temperature), with little or no degradation or changes in its properties. This means that the compound(s) it contains can also be stably stored (or even transported). Furthermore, it can be stored at room temperature, which avoids the need to freeze, or store at particularly low temperatures, which is the case for prior art biomass materials. Clearly, such stability is advantageous as the storage conditions are considerably cheaper.

10 The preferred method of granulating the biomass is by extrusion. This can minimise destruction of the cells. The stability of the biomass has been found to be better with minimum disruption of the cells, in other words the process of the invention can be adapted to optimize the number of cells  
15 that remain intact. This is in contrast to many prior art extractions, where the cells are disrupted in order to isolate the compound.

A fourth aspect of the present invention relates to a process for the isolation of one or more compound(s) from  
20 granules of biomass, the process comprising:

- a) providing dried granules having a dry matter content of at least 80%, the granules having been derived from a microbial biomass comprising microorganisms that have produced such a compound; and
- 25 b) extracting or isolating the or each compound from the dried granules by solvent extraction.

The preferred extraction method is to employ a solvent, in which suitably the compound is soluble. The preferred extraction method is to use percolation: here the solvent can  
30 be passed over a bed of the granules. For this technique it will be appreciated that the particles should not be too small (for example they should not be milled or comminuted) otherwise one will obtain too much "dust" (or fines) which will clog the filter. Large particles are also to be avoided, but in between  
35 these two extremes one can obtain an optimal surface area, so that preferably the granules are larger than the pores of the filter. The particles are preferably highly porous to allow



easy access of the solvent to the compound to be extracted.

In the present invention the pretreatment of microbial biomass cake to form granular particles can significantly improve the subsequent drying process. The resulting dried  
5 granulated biomass can be particularly suitable for either immersion or percolation extraction. The particle size can be specifically adjusted for optimal drying and extraction conditions. By using biomass pretreated according to the invention, the desired compound is advantageously extracted  
10 without the need to disrupt the cells prior to extraction.

The process of the invention can be used to prepare granular particles or dried granules from almost any type of microorganism. The microorganism can be in a filamentous form, like fungi or certain bacteria, or as single cells, like yeasts,  
15 algae and bacteria. Thus, the biomass may comprise microorganisms that are yeasts, fungae, bacteria or algae. Preferred fungae are of the order *Mucorales*. For example, the fungus may be of the genus *Mortierella*, *Phycomyces*, *Blakeslea*, or *Aspergillus*. Preferred fungae are of the species *Mortierella*  
20 *alpina*, *Blakeslea trispora* and *Aspergillus terreus*.

As far as yeasts are concerned, these are preferably of the genus *Pichia*, such as of the species *Pichia ciferrii*.

Bacteria can be of the genus *Propionibacterium*.

If the biomass comprises an algae, this is preferably a  
25 dinoflagellate and/or belongs to the genus *Cryptocodinium*. Preferred algae are of the species *Cryptocodinium cohnii*.

The compound to be isolated from the microbial biomass prepared according to the invention may be located intracellularly, associated with the cell membrane or cell wall,  
30 or produced extracellularly (it may then be insoluble in water).

The compound to be isolated can be either hydrophilic or hydrophobic (e.g. lipophilic). Examples of such compounds are intracellular proteins or enzymes, lipids, secondary metabolites  
like vitamins (e.g. vitamin B<sub>12</sub>), macrolide or polyene  
35 antibiotics, flavour providing substances or carotenoids. Preferably, the compound to be isolated from microbial biomass is a lipophilic compound.

The compound extracted from the biomass treated according to the invention can be of high quality since it has been subjected to little, if any, deterioration due to the mild conditions used in the treatment process. Therefore, the invention is particularly suitable for the preparation of microbial biomass from which heat- and/or oxidation-sensitive compound(s) need to be isolated.

The invention is suitable for preparing microbial biomass for the isolation of compounds having a high degree of unsaturation, such as lipids containing polyunsaturated fatty acids (PUFA). Preferably the PUFA is a C18, C20 or C22  $\omega$ -3 or  $\omega$ -6 polyunsaturated fatty acid. For instance the compound can be docosahexaenoic acid (DHA) (from algae or fungi, such as the dinoflagellate *Cryptocodinium* or the fungus *Thraustochytrium*),  $\gamma$ -linolenic acid (GLA), dihomo- $\gamma$ -linolenic or arachidonic acid (ARA) (from fungi, such as *Mortierella*, *Pythium* or *Entomophthora*), or eicosapentaenoic acid (EPA) (from algae, such as *Porphyridium* or *Nitzschia*). Any of these PUFAs may be isolated either on their own or, more usually, in the form of a lipid.

Additional examples of compounds which can be isolated according to the invention include  $\beta$ -carotene, such as from fungal genera e.g. from the order *Mucorales*, e.g. *Phycomyces* or *Blakeslea*, astaxanthin from the yeast *Phaffia rhodozyma*, tetraacetylphosphingosine (TAPS) from the yeast *Pichia ciferrii*, and/or vitamin B12 from propionic bacteria.

Other compounds that can be extracted include lipophilic/non polar ones such as lovastatin, cyclosporin and laidlomycin. Of these, the first two are either produced extracellularly or attached to the cell wall. Suitable solvents, therefore, include heptane, hexane, acetone, methanol and toluene, and ethanol. However, for the later two compounds, one can use isopropyl alcohol or butyl acetate for cyclosporin, and ethanol or methanol for laidlomycin. Generally speaking, hexane is suitable for apolar antibiotics, such as those produced by the organisms of the genus *Streptomyces*.

Other compounds include polyketides, or metabolites derived

from polyketides, which includes many antibiotics. Preferred polyketides are those that do not contain nitrogen, and may be aromatic, preferably containing at least one 6 membered ring. Preferred polyketides are statins, which includes lovastatin, simvastatin, pravastatin and compactin. Other preferred compounds are HMG-CoA reductase inhibitors. These can reduce cholesterol levels in the blood.

Another class of compounds that can be extracted include steroids, such as ergosterol. These are produced by yeasts and moulds.

The process of the invention is particularly suitable for the isolation of lipophilic compounds, such as polyunsaturated fatty acid (PUFA)-containing lipids and/or carotenoids.

The compounds isolated according to the process(es) compositions, of the invention are suitable for use in human or animal foods (e.g. infant formula) or other edible compositions and in cosmetics, healthcare compositions or supplements, or pharmaceutical compositions.

In the process of the invention, the microorganism of choice can first be fermented to obtain a sufficient amount of biomass for subsequent extraction of the compound. The fermentation conditions will depend on the organism used, and may be optimized for a high content of the compound in the resulting biomass.

Suitable fermentation conditions are presented in Comparative Example 26.

After the fermentation process has finished, the fermentation broth, depending on the type of compound to be isolated, may be pasteurized to kill the production organism and to inactivate any undesirable enzymes. If desired, flocculation agents and/or other processing aids may be added to the broth to improve its filterability.

Suitable flocculating agents include  $\text{CaCl}_2$ ,  $\text{Al}_2(\text{SO}_4)_3$  and polar cationic polyamides. These may be present at from 0.1 to 2% by weight.

Preferably the biomass (or broth) is pasteurised. After fermentation pasteurisation may be necessary to obtain a slurry

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that can be processed in a hygienic way. The pasteurisation of biomass in the fermenter can have several advantages. Firstly, the production organism is not exposed to the environment. Also, unwanted enzymatic activities, influencing the quality of the target compound can be inactivated.

Depending on the species of the production organism the pasteurisation is performed at temperatures of from 60 to 100 °C. The pasteurisation can be performed by heating (directly) with steam into the fermenter or by (indirect) heating using a medium via heat exchangers, either through the wall or with cooling coils or an external heat exchanger such as known plate heat exchangers or other suitable heat exchangers.

The following preferred pasteurisation conditions can be employed, especially for organisms of the genus *Mortierella*.

The fermentation broth (or biomass) is pasteurized to kill the microorganism and to inactivate enzyme activity. This can be about 144 hours after inoculation of the main fermenter. The biomass (or broth) is suitably pasteurized at from 50 to 95 °C, preferably from 60 to 75 °C, and optimally between 63 to 68 °C. This can be for from 30 to 90 minutes, preferably from 50 to 75 minutes, optimally, from 55 to 65 minutes. This can be by any suitable heating means, but is preferably by direct steam injection, such as into the main fermentation vessel.

After pasteurisation the broth is allowed to cool, or is cooled down. This can take about 4 hours, suitably to about 25 °C.

If two or more organisms are involved, from different biomass or fermentation broths, then each biomass (or broth) can be individually pasteurised or, after mixing, they can then be pasteurised. However, the former is preferred as different pasteurisation conditions can then be employed for the different organisms.

Usually, pasteurisation will take place in the fermenter vessel in which fermentation has occurred. However, for some organisms (such as bacteria) it is often preferred to remove the microorganisms from the vessel first, and then pasteurise (for example, before spray drying in an agglomeration granulation

process).

Generally speaking, pasteurisation is advantageous because not only may it kill the microorganism, but more importantly it can inactivate one or more enzymes that can adversely affect the compound. For example, pasteurisation may inactivate various lipases, and these may cleave fatty acids off a triglyceride backbone. This is disadvantageous for PUFAs where a high triglyceride content is preferred.

As will have been appreciated, pasteurisation will usually kill most, if not all, of the microorganisms. Therefore, in the dried granules, at least 95%, such as at least 98%, if not 99%, of the microorganisms, have been killed (i.e. are not alive).

For some organisms (e.g. *Pichia*) preferably no pasteurisation is conducted.

To prevent recontamination of pasteurised biomass during subsequent processing steps conditions can be designed to reduce the risk of growth. One possibility is to acidify the broth with a suitable acid. To prevent the out-growth of many microbial species a pH range of from 3 to 4 in combination of a low process temperature is sufficient.

Also other biostatic agents like alcohols, sorbates, etc. may be used for this purpose.

For thermally stable products processing at higher temperatures (60 - 100 °C) may be applied.

Preferred acidifying conditions (e.g. for organisms of the genus *Mortierella*) are as follows.

The pH of the pasteurised broth is adjusted to from 2 to 5 to improve microbiological stability, preferably to a pH in the range of 3 to 4, and optimally a pH of about 3.5.

Acidification of the broth (before or after pasteurisation) can have additional advantages. If the compound is a polyketide, for example a statin, then acidification can result in precipitation of the compound. For many compounds, especially water soluble ones, precipitation before further processing steps is desirable, lest the compound be lost when the broth is filtered to remove water. Therefore, before or after pasteurisation, a compound may be precipitated (such as

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by acidification, although any other means known to a person skilled in the art can be employed).

The pH can be adjusted by any suitable means e.g. 85 % phosphoric acid, preferably diluted 55 % phosphoric acid and  
5 optimally with diluted 33 % phosphoric acid.

At this stage one has a broth, which may have been pasteurised. The next stage is to obtain a biomass, by separating the microorganisms from the surrounding medium.

A solid-liquid separation technique can be performed to  
10 separate the biomass from the fermentation broth. This (harvested) biomass usually has a dry matter content varying from 20 to 35%, depending on the type of microorganism. However, for extrusion (and subsequent drying) the biomass typically should have a dry matter content which ranges from 25%  
15 to 80%.

If the water content of the biomass is too high (e.g. for extrusion and/or subsequent drying), it can be dewatered and/or have its dry matter content increased. This can be achieved by a number of methods. Firstly, the biomass can be subjected to  
20 (additional) dewatering. Any dewatering method known to the skilled person can be used; the desired dry matter content can be from 25 or 30 to 80%.

Preferably, a mechanical dewatering method is used. The maximum dry matter content which can be reached by mechanical  
25 dewatering will, however, vary depending on the type of microorganism. For certain microorganisms, e.g. yeast, the dry matter content of the biomass after mechanical dewatering may not exceed a level of 35 to 40%, while the same process executed on biomass of certain lipid-rich microorganisms may result in  
30 a higher dry matter content of from 45 to 60%.

A preferred method is to use a membrane filter press (plate and frame filter press with squeezing membranes) which can combine a solid-liquid separation with mechanical dewatering and is especially suitable to obtain the desired dry matter content.

35 Alternatively or in addition, the desired dry matter content of the microbial biomass can be increased by the addition of consistency-increasing (or dry) agents. These

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consistency-increasing agents are suitably dry and, preferably, do not negatively interfere with the extraction process and/or the properties of the compound. For example, consistency-increasing agents can comprise starch and/or plant fibres such as oats or wheat bran or cellulose. Even another biomass (of a lower water content) can be used. Such substances may be added anyway, if it improves the extrudability.

Sometimes, e.g. after solid-liquid separation and/or mechanical dewatering, the biomass can form of large cakes. This may not be suitable for granulation (e.g. extrusion). To reduce the biomass to a size which may enable granulation (e.g. efficient feeding of the extruder), the biomass is suitably crumbled, kneaded and/or mixed. This crumbling and/or kneading can be achieved by (short) treatment in a high shear mixer. Optionally, the or each consistency-increasing agent may be added during this part of the process.

The then (optionally crumbled or kneaded) biomass can be subsequently subjected to the granulation process to result in the formation of granular particles. The granulation can be effected in a number of different ways.

Another method of reducing water content (or increasing dry matter content) is to use a salt (e.g. brine) wash, either of the biomass or (preferably) after separation of the biomass from the broth, such as using wash filtration.

In a preferred embodiment of the invention, the desired particle structure and size is obtained by an extrusion process. The particle characteristics, such as structure and size, can be important in order to optimise the drying and/or extraction process. During the drying step, if the particles are too small they may give problems as they can generate dust and fines, whereas too large particles do not fluidize and may give a poor drying performance. During extraction, a too small granule size may not allow the use of a percolation process, since the pressure drop over the biomass bed will be too high. Too much fines may give problems in subsequent purification steps. A too large size may impede efficient penetration of solvent during extraction. Furthermore, the particle structure should be

sufficiently compact in order to prevent disintegration during drying and extraction, but the particles (dried granules) preferably have a porosity that allows (efficient) penetration of solvent during extraction.

- 5       The extrusion conditions can be adjusted by a skilled person in order to obtain granular (biomass) particles having the desired structure and size.

      The extrusion conditions can be adjusted to minimize cell disruption. Minimal cell disruption can ensure optimal  
10 protection of labile, oxidation-sensitive compounds against oxidation-induced degradation. Extrusion is therefore preferably conducted at lower temperatures, without any means of heating. Preferably this is in the range of from 20 to 30°C, such as about room temperature. During extrusion the granular  
15 particles may form naturally, the "extrudate" falling away under its own weight from the die plate by the influence of gravity, thereby forming particles. If, however, the biomass is of a nature whereby after being extruded by the die plate in forms long strands like spaghetti, then the spaghetti can be cut to  
20 give particles of a desired size.

      The temperature of the biomass has been found to influence the nature of the granular particles produced on extrusion. Preferably the biomass has a temperature of from 6 to 15°C before extrusion. However, while in the extruder the  
25 temperature of the biomass can rise to be from 10 to 60°C, although preferably this is from 15 to 30°C. The temperature rise will depend upon the pressure exerted on the biomass, and its dry matter content.

      During extrusion the biomass is usually forced through a  
30 barrel towards a die plate, often by a screw. This barrel is preferably not heated. In fact, it is advantageous that it is cooled. Suitably, the temperature of the coolant (e.g. an aqueous solution such as water) is from 1 to 4°C, such as about 2°C.

35       Generally speaking, extrusion does not change the water content. This is why in stage (b), the dry matter content is the same as in stage (a). However, as will be appreciated,



other granulation techniques (such as those described later) do change the water content, and can decrease it (in other words, increase the dry matter content). For a biomass that contains a fungus, for example, of the order *Mucorales* (in particular one producing a PUFA) the dry matter content of the biomass in (a), which will usually be the same as in the granular particles produced on granulation (in this case extrusion) is suitably between 35 and 60%, preferably from 50 to 60%. After drying, the dry granules preferably have a dry matter content of at least 90%, such as at least 95%.

The preferred granulation technique is to use an extruder. A good overview of extruders is by W. Pietsch ("Size Enlargement by Agglomeration": Wiley & Sons, 1991, page 385). The machine maybe a batch or continuous extruder. For continuous extruders there may be mentioned simple single screw extruders (both axial and radial transporting). Also there are twin screw extruders either co- or counter rotating. The to be extruded biomass is transported, partly compacted and pressed through a perforated (die) plate. Another group of extruders include pelletising machines. Here a cylindric pressing tool rolls over a layer of material deposited on a perforated plate.

If the granules are obtained by extrusion, then the biomass needs to be in an extrudable form. The water content can be adjusted, if necessary, depending on the condition of the biomass, the microorganisms employed, and the extrusion conditions. Water can either be removed, or the dry matter content increased by means of addition of solids, for example starch. The biomass can in this way be adjusted to the correct consistency, which is usually that of a paste.

Although the granules can be used for extraction of the compound, they do in addition represent a stable form of the biomass that can be stored. The granules can have other uses: for example, they may be used in the preparation of an infant formula, where the biomass contains one or more polyunsaturated fatty acids (PUFAs).

The present invention also envisages other granulation methods which enable the formation of (granular) particles. For

instance, a multistage drying process can comprise a combination of spray-drying and a fluidized bed and can also yield granular particles.

Other types of granulation techniques can be employed.

5 Generally granulation is the action of obtaining solids in a granular form either by size enlargement or size reduction. In general size enlargement is employed. A good overview of the type of granulation processes available is described in W. Pietsch, "Size Enlargement by Agglomeration" (Wiley & Sons,  
10 1991, page 385). Within this there are many different techniques available for granulation and this includes several agglomeration methods, which will be described. Here agglomeration results in small particles adhering to each other (agglomerating) to form larger particles (in this case the  
15 granular ones). Therefore, if a first technique results in the particles being too small an agglomerisation technique can then be employed to give bigger (granular) particles.

Tumble agglomeration is usually achieved using a tumbling, and/or rotating drum or cone drier with a powder having adhesive  
20 properties (so that the particles stick together). In some cases an extra added binder can be mixed. By this mechanism spherical particles can be formed.

Pressure agglomeration is usually characterised by high forces acting on a mass of a particulate matter. In general  
25 this process is performed with fine powders or with 'plastic' (non-elastic) materials. This process is normally used for powdered materials. (However it is also used in dried yeast production for doughs of a certain consistency). The shaped particles may be dried to suitable dry matter content for  
30 optimal storage. Pressure agglomeration can be accomplished by a piston, roller, isostatic and/or extruder presses. A good description of this type of equipment is given in the Pietsch book mentioned above.

Extrusion presses usually make use of wall friction,  
35 causing resistance to the flow of the plastic material through bores or open ended dies. Particularly in screw extruders extensive mixing takes place and high shear forces are applied.

In general materials with low melting or plastification temperatures can be directly agglomerated.

Other agglomeration techniques are possible. For example, spray drying in combination with a fluid bed agglomerator. 5 Initially the biomass can be dried by atomization through a nozzle or using a rotary wheel in a spray dryer. Fine particles are recycled to the spraying section. The resulting sticky powder is further agglomerated in a fluid bed section. In some cases rewetting of the powder can improve the agglomeration 10 process. This described technique is known as multi-stage drying.

To describe multi-stage drying in greater detail, the biomass is first spray dried. This can give a fine powder. The temperature of spray drying (air inlet temperature) is usually 15 from 160 to 260°C and/or the air outlet temperature is from 75 to 90°C. Here the biomass is sprayed by a fast rotating disk or a nozzle which generates small particles. The particles can then fall, under gravity, towards the bottom of a spray drying tower. Here, a fluid bed may be provided, which can use hot air 20 to effect drying (suitably at 90 to 95°C). Here, agglomeration can take place, and the particles can stick together. Following this, the agglomerated (granular) particles are subjected to drying, for example on a belt drying bed or on a sub-fluidised bed. At the start of the process, a biomass can have a dry 25 matter content of below 30%. After spray drying, this can increase to from 75 to 90%, and after agglomeration can be from 90 to 95 %. After drying, this can increase to at least 95%.

Another technique is to use a fluidised bed agglomerator. 30 Here, powder can be fluidised in a gas flow. In the particle bed a fluid is sprayed with water that wets the powder and enhances the agglomeration.

In general the described agglomeration processes are for dry powders that can be plasticized. An exception is the drying 35 on a multi-stage dryer. This combination of spray drying in combination with a fluid bed after dryer is suited for the agglomeration of many different types of biomass. However the

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process is not always suitable for thermo-labile products or products susceptible to oxidation by (hot) air. A good way of producing a granulated dry biomass is the extrusion of a mechanically dewatered filtercake followed by a suitable drying  
5 step like fluid bed or sub-fluidised bed drying.

Another way of agglomeration of (dried) biomass can be performed by the rewetting of (spray) dried product followed by an extrusion step and re-drying in e.g. a fluid bed dryer. Powders, with a low melting point or a low plasticising  
10 temperature (or in case of certain dried biomasses with a high amount of intracellular oil, that partially melts due to the forces in the extruder) can be extruded. Suitable pellets form in the die plate.

As in (c) above, the (extruded or otherwise) granulated  
15 biomass can be dried, suitably under conditions that allow the particles to remain intact. The particle structure and size of the biomass after the granulation process is thought to enable the efficient drying of the biomass. The drying can be performed using various dryers, e.g. a belt dryer, a vacuum or  
20 a vacuum belt dryer, a fluidized or a subfluidized bed dryer. The skilled person can choose between a batch or a continuous process.

The use of a fluidized or subfluidized bed dryer is especially preferred in the process of the invention. Drying  
25 can occur in air or under nitrogen. With fluidized and subfluidized bed drying, the temperature in the bed can be adjusted to preset values. These values can range widely, for example from 35° to 120° C, such as 50 to 90°C, optionally from 60 to 80°C. If a labile compound needs to be isolated from the  
30 biomass, the temperature of the drying process can easily be adjusted to the lower ranges, to diminish the risk of oxidation or degradation.

Alternatively or in addition a vacuum drying process can be employed, e.g. at from 1 to 2 hours.

35 Several advantages may flow from the drying step. First, drying of the biomass particles (to form granules) can result in an intermediate material which may be stably stored for a

prolonged time period. Here a (relatively) high dry matter content of the biomass may prevent degradation of the compound to be isolated from the biomass. In this way, the dried granules can be considered as a stable formulation of the  
5 compound present within or associated with the biomass.

For instance, the granules can function as a carrier for an enzyme, whereby the enzyme is immobilized within the granules by mixing an appropriate amount of a cross-linking agent, e.g. glutaraldehyde, into the biomass before extrusion.

10 In addition, the dried granules prepared according to the invention can be advantageously used as it is, for instance as a food or feed composition or additive.

The particles and/or granules (e.g. produced by extrusion) can have the following properties.

15 The granules can have the shape of chocolate confetti. The diameter of the (extruded) granules can vary from 0.1 to 12 mm, such as from 0.3 to 10 mm. More preferred is from 1.5 mm to 6 mm and optimally (for extraction when dried) the diameter is from 2 to 3 mm. The length of the granules can be about 2 to  
20 5 or 6 times the diameter. They can then be easily handled in packing and used with commercially available extractors (to guarantee the permeability of the bed). Usually most, if not substantially all, the granules will have the same size, indeed, one can obtain highly uniform or homogeneous granules where at  
25 least 80%, such as at least 90%, of all the granules have a particular property within the range specified.

The composition of the second aspect (the granules) are preferably free-flowing. They maybe roughly cylindrical in shape. This can be achieved by using extrusion. The particles  
30 can then be of a diameter that is approximately the same (although it may be slightly larger) than the holes of the die plate used for extrusion. During this process, particles may form automatically on exiting the die plate. In that event, the length of the particles will be variable. However, particle  
35 length can be influenced for example, if one uses a cutting means, for example a knife (e.g. one or more rotating blades adjacent to the die plate) when most (if not all) of the

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particles will have substantially the same length. Preferred lengths of such particles are at least 2 mm, such as at least 3 mm. Suitably the granules are of a size and water content that allows them to be "poured" which allows them to be stored and transported more easily. Although, generally speaking, most particles will be elongate in nature, some may be approximately spherical. The preferred lipid content of the granules is preferably from 30 to 50% by weight.

The bulk density of the granules will usually be from 400 to 1100 kg/m<sup>3</sup>.

As has been discussed, the granules are preferably porous, in order to allow access of the solvent to the compound to be extracted. Preferably, the granules have hollow channels, and these may extend towards, and into, the centre of the granules. The number of channels may be such that from 40 to 60% such as from 45 to 55%, optimally about 50%, by volume of the granule is hollow (air). As far as the channels are concerned, they may be in length 10 to 20 times that of their average diameter. The granules will, generally speaking, be homogeneous in their composition, in that the outside of the granule, will in essence, be the same material as that in the centre. This is in contrast to prior art yeast compositions which may have a relatively solid outside but yet relatively airy core.

The granules can be stably stored at a temperature optimal for the compound to be eventually extracted.

The preferred dry matter content of the dried granules is more than 80 %, more preferably at least 85 %, mostly preferably at least 90% and optimally in the range of from 93 to 97 %. If a water miscible solvent is to be used for extraction granules with lower dry matter contents can be used.

The (dried) granules are thus usually porous so solvents used in extraction can gain easy access to the (inside of) the granules. Thus, during extrusion and drying the amount of dust can be minimised (which increases yield) and can avoid an additional filtration of the (solvent) extract prior to evaporation of the extract.

The porosity of the granules is dependant on the (water or)

dry matter content of granular particles. Often the water in the granular particles will be evaporated on drying to leave a (hollow) pore. The porosity of the dried granules is preferably from 15 to 50%, such as from 20 to 40%, optimally from 25 to 35%. Measurements of porosity are described later (Example 25).

Preferably, most (if not substantially all) of the cells in the granules are intact (that is to say not ruptured). The granules especially from a fungal biomass, can be wholly biomass particles which have a diameter from 0.3 to 10 mm, preferably a diameter of from 0.7 to 5 mm, optionally from 1 to 3 mm. Commonly, the particles will automatically form at the desired length. Otherwise, the particles may be cut to the desired length. If granulation was by extrusion, then the holes in the die plate of the extruder can generally correspond to the diameters of the granules.

Optionally, antioxidants may be added prior to or during the granulation process. These can include tocopherol and ascorbyl palmitate, e.g. present at up to 0.1% (by weight).

The invention may thus provide a biomass material with characteristics that may enable a cost-effective and efficient extraction of compounds. The compound(s) present can then be purified, isolated or (preferably) extracted. The process of the invention can enable the use of a percolation extraction process. The advantage allowed by this extraction process seem to be due to the structure and size as well as a high dry matter content. A dry extrudate requires a reduced amount of solvent for the extraction of the valuable compound therefrom. In addition, the process of desolventizing toasting, i.e. the release of used solvent from the biomass, can be performed better and more efficient with biomass in the form of an extrudate.

The extrudate residue obtained after the process of desolventizing toasting can advantageously be used as a feed component.

A dry matter content of the extrudate exceeding 90 to 95% may enable stable storage of the extrudate, whereas a dry matter content above 85% already can give a significant advantage in

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the subsequent extraction process.

Extraction is preferably conducted using a solvent. The solvent employed will depend upon the compound to be extracted, but in particular one can mention  $C_{1-10}$  alkyl esters (e.g. ethyl  
5 or butyl acetate), toluene,  $C_{1-6}$  alcohols (e.g. methanol, propanol) and  $C_{3-6}$  alkanes (e.g. hexane) and/or a supercritical fluid (e.g. liquid  $CO_2$  or supercritical propane). In prior art techniques, the solvent has been employed directly on the microorganism in the broth. However, by performing extraction  
10 on the granules, one can significantly reduce the amount of solvent required. In some of the applicant's experiments, 20 to 30 times less solvent was needed in order to perform the extraction. Not only does this result in a significant economic saving, because less solvent but is used, it also minimises  
15 emission problems. By using granules the surface area available to the solvent can be particularly high and therefore one can obtain good yields.

If the compound to be extracted is hydrophobic, then an apolar solvent is preferably used. For hydrophilic compounds,  
20 a polar solvent (such as an alcohol) is suitably employed.

Extraction can be effected using a variety of techniques. The preferred method is percolation extraction, using a filter. Here, a column can be filled with the dried granules. The solvent (hexane) is then added to cover the granules. Although  
25 the solvent can be passed once through the column and over the dried granules, preferably it is recirculated (either as a closed or open system). Suitably the solvent is recirculated for three to seven times, such as about five times, suitably for a time period of from half an hour to one and a half hours such  
30 as about one hour. Figure 3 shows a suitable percolation extraction apparatus. The solvent is held in the vessel before addition to the percolation extractor containing the dried granules. The solvent is circulated by means of the pump. The polish filter is intended to remove fines.

35 Other percolation extractors can be employed. These may be of a counter current or cross-current design. In the former, the dried granules can be held in a rotating cylinder (such as



a carousel) split into various sectors. The solvent is passed through the granules in one sector in one direction, and then passed through (preferably in the same direction) granules in another (such as a neighbouring) sector. These machines are often referred to as carousel extractors and are available from Krupp, Germany.

In another technique, the granules can be placed on, for example, a moving (e.g. porous) belt or conveyer which is moving in a substantially opposite direction to the solvent. This can mean that fresh granules are extracted with solvent that has already passed through other granules, and that fresh solvent is applied to granules that have previously been subjected to extraction with the solvent. This arrangement can maximise efficiency.

In a cross-current technique separate batches of the granules are subjected to extraction with portions of fresh solvent.

The process of the invention can also be used to obtain a mixture of two or more compounds from different microorganisms by preparing granular particles or granules from a mixture of two or more microorganisms. This mixture of microorganisms can be obtained by mixing the fermentation broths of two or more different microorganisms directly after has finished or by combining the biomass from two or more microorganisms immediately prior to the granulation (e.g. extrusion process). It is also possible to mix two or more different microbial extrudates prior to the extraction process.

A preferred process according to the present invention may thus be as follows:

- a) fermenting one or more microorganisms in a suitable medium, under conditions that allow the microorganism to produce the desired compound, which can result in a broth (of the microorganisms in the surrounding medium);
- b) if necessary, precipitating or solidifying the compound, such as by acidification;
- c) separating the microorganisms from the medium in the

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broth, which may be achieved by solid/liquid separation, such as by filtration, in order to obtain a biomass;

- 5 d) pasteurisation, either of the broth resulting from (a) or of the biomass resulting from (c);
- e) if necessary, increasing the dry matter content of the biomass, for example by adding dry matter or substances, or by decreasing the water content, for example by a dewatering or drying technique;
- 10 f) crumbling and/or kneading the resulting biomass (and, optionally, increasing the dry matter content by adding one or more dry substances);
- g) granulating the biomass to give granular particles, such as by extrusion;
- 15 h) drying the granular particles to give dried granules; and
- i) extracting one or more of the compounds, such as by using a suitable solvent.

20 The compounds isolated according to the invention can be of high quality and may be suitable for use in human or animal nutrition. Especially polyunsaturated fatty acid(PUFA)-containing lipids isolated according to the invention are suitable for nutritional purposes, in particular for the  
25 incorporation in infant formula.

The invention will now be described, by way of example, with regard to the following Examples which are provided by way of illustration. They are accompanied by the following drawings in which:

30 Figure 1 is a graph of temperature and dry matter (%) against time showing the drying behaviour of different amounts of extruded biomass at different temperatures;

35 Figure 2 is a graph of oil yield against temperature showing from extruded biomasses at different temperatures;

Figure 3 is a flow diagram of a (known) percolation

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extraction process;

Figure 4 is a graph of oil yield against time showing the relation between the amount of oil extracted and its time of extraction; and

Figures 5 and 6 are graphs of size distribution of dried granules according to the invention and prior art granules, respectively.

#### EXAMPLES 1 TO 6

##### Processing of *Mortierella* fermentation broth

160 l of a fermentation broth of *Mortierella alpina*, previously pasteurised (68°C for 1 hour) (palletized growth) was filtered in a standard Dieffenbach plate and frame filter press (cloth type: nycot 2794). The broth was filtered with a maximum applied pressure of 1.0 bar. Within 20 minutes 160 l broth was filtered over a total filter area of 4.35 m<sup>2</sup>, which resulted in an average flow of about 110 l/m<sup>2</sup>h. The filter cake was washed with about 3 cake volumes (~ 150 l) of process water.

About 30 kg of wet cake was recovered with a dry matter content of about 25 %. Three types of drying procedures were employed.

##### Vacuum drying:

10 kg of filtercake was dried under vacuum at 35 °C in a vacuum (about 50 mbar) tray dryer (about 1 m<sup>2</sup> drying surface) during 24 hours resulting in about 2.5 kg of dried biomass with a dry matter content of about 94 %. The dried biomass consisted of crumbled biomass and some big lumps. Vacuum drying was time consuming probably due to the big lumps.

##### Ventilation tray dryer:

10 kg of filtercake was dried under nitrogen during 24 hours at 35 °C in a ventilation tray dryer (about 1 m<sup>2</sup> drying surface). In total about 2.5 kg of dried biomass was recovered

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with a dry matter content of about 93 %. The dried biomass consist of crumbled biomass and some big lumps. Ventilation tray drying was time consuming probably due to the big lumps.

5 Fluid bed dryer:

5 kg of filtercake was dried in a labscale fluid bed dryer of AEROMATIC (type MP-1) at an inlet air temperature of about 200 °C. The outlet temperature was about 40 °C. In about 45 minutes the wet biomass was dried resulting in about 1 kg of  
10 dried biomass with a dry matter content of about 81 %.

The dried material recovered by this last method was used for extraction of oil by means of hexane at six different temperatures (hence Examples 1 to 6). 150 g of the dried biomass was subjected to extraction with 1500 ml of hexane  
15 (heated to reflux) under nitrogen blanketing for 90 minutes. The cell mass was filtered off and the solvent in the resulting micella was evaporated in a rotavapor under vacuum. This resulted in a crude PUFA oil. The results are shown in Table 1. Extraction at room temperature gave lower yields; better yields  
20 were obtained at elevated temperatures.

Table 1 Extraction of oil from biomass.

Experiment number	Biomass/hexane ratio	Temperature in °C	Extraction time in minutes	g oil per 100 g dried biomass
1	300	80	30	19.2
2	100	23	30	16.4
3	150	45	60	22.6
4	200	23	120	17.1
5	200	23	30	11.8
6	100	23	120	13.5

The triglyceride rich oil was a light yellow oil, and contained some solid material.

#### EXAMPLE 7 AND COMPARATIVE EXAMPLE 8

#### 15 Processing of *Mortierella* fermentation broth

500 l of broth (previously pasteurised as described in the previous Example) was filtered in a membrane filter press (SCHULE) at a pressure difference of about 0.5 bar. The filtercake was washed with 10 cake volumes of process water and afterwards squeezed during 30 minutes at 5.5 bar. The resulting cake had a dry matter content of about 46 %. The cake recovered in this way was extruded in a pilot extruder (ODEKERKE, diameter barrel of 50 mm, barrel profiled). The die-plate had 10 holes with a diameter of 1.6 mm each. In total 19 kg of filtercake was extruded in about 45 minutes.

The extrudate recovered in this way was dried in pilot plant fluid bed dryer (T4 AEROMATIC 0.26 m<sup>2</sup> drying surface). Within about 45 minutes the extrudate was dried at 65 °C, resulting in a dry matter content of about 85 % (Example 7).

During the same experiment some filtercake was not extruded (Comparative Example 8) and dried in a vacuum tray dryer at 40 °C. The drying was very time consuming due to the big lumps.

Both materials were subjected to extraction using hexane. The following characteristics of the materials

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found:

Dried extrudate:                   mainly pellets  
(Example 7)                       extraction process reasonably easy

- 5 Vacuum dried biomass:       pellets and lumps, much fines  
(Comparative Example 8)       extraction process difficult; poor  
                                  filtration properties

#### EXAMPLES 9 and 10

- 10 Extrusion experiments using the same broth from Example 7  
were performed using the following extruders:

LALESSE (Arnhem, Netherlands):

- 15 In Example 9 a LALESSE single screw universal extruder  
was used. This type of extruder is normally used in the  
production of food snacks. Ground maize (dry matter content  
of about 95 %) was first fed as a test to the extruder and  
under pressure and heat the maize was extruded; once out of  
the die the extrudate expanded.

- 20 The barrel of this type of extruder was a profiled  
barrel in order to transport the maize processed. The type  
of screw used in extrusion is dependent upon the type of  
material processed. The screw was a universal transport  
screw or a compression screw with a diameter of 48 mm. The

- 25 LALESSE machine is a 7.5 Kw pilot machine (drive on  
capacity). The total power requirement of the machine is  
12.1 Kw. The barrel of the extruder could be heated or  
cooled. Dieplates with 1 up to 4 holes with diameters of  
1.8, 2.0 and 2.2 mm used during extrusion of biomass.

- 30 The capacity for extending the *Mortierella* biomass  
(cooled barrel) was about 40 kg/h. In the extrusion the  
length/diameter (L/D) ratio of the hole in the die-plate was  
varied.

- 35 ALMEX (Zutphen, Netherlands):

In Example 10, using the *Mortierella* biomass of Example  
7, an expander extruder from the company ALMEX was used.

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This type of extruder is used in the production of pet-food. It had a smooth barrel with pins that enabled transport of the biomass. These pins have the same function as the profiles in the barrel of the LALESSE extruder. The screw of the expander extruder was a modular screw.

Technical data: ALMEX Contivar 150  
L/D of 10 (ratio of the length of the screw and the diameter of the screw)  
Max. screw speed of 180 rpm  
22 Kw (drive on capacity)  
Diameter screw of 150 mm  
Cooling with tapwater  
Die plates: 3 rings of holes with each hole a diameter of 1.8 mm

The biomass was raised to about 25 °C in temperature during processing. The capacity of the machine was about 250 kg of *Mortierella* extrudate per hour.

COMPARATIVE EXAMPLE 11  
Comparison of solid/liquid separation performed with different methods

Decanter:

350 l of broth obtained from a fermentation of *Mortierella alpina* was decanted in the 'FLOTTWEG' decanter (type Z 23-3/441). The speed was set at about 4000 rpm. The differential speed range was varied during operation from 7.5 - 20 rpm.

The feed was set on 400 l/h. The biomass was not washed. In total 350 l broth was decanted. The temperature of the feed was 8 °C and of the supernatant 15 °C. The dry matter content of the recovered biomass was about 25 %.

Decanter + vacuum drum filter:

20 kg of the biomass from the decanter experiment above with a dry matter content of 25 % was suspended in 500 l

- 30 -

process water in which 10 kg NaCl was dissolved. The resulting slurry was filtered on a vacuum drum filter with belt discharge (PAXMAN, cloth type: 865.912 K/5 polyprop) without further washing. The speed of the drum was set on 1 rpm and the pressure difference on a maximum of 600 mbar. In total 400 l was filtered within 15 minutes. The net filtering surface was about 0.3 m<sup>2</sup>, which resulted in an average flow of 5000 l/m<sup>2</sup>h (filtering surface). The filtration rate was very well but the 'cake building' was rather bad. The dry matter content of the recovered filtered biomass was about 35 %.

#### Plate and frame filter press:

500 l of broth was filtered in a plate and frame filter press (standard R&B, cloth type: nycot 2794). The broth was filtered with a pressure difference of 0.3 bar. Within 35 minutes 500 l broth was filtered over a total filter area of 5 m<sup>2</sup>, which resulted in an average flow of 1 175 l/m<sup>2</sup>h. The filter cake was washed in 30 minutes with about 2.5 cake volumes of process water which resulted in an average flow of 400 l/m<sup>2</sup>h.

The cake was blown dry by air for 30 minutes, which resulted in a dry matter content of the recovered biomass of about 25 %.

#### Membrane filter press:

700 l of broth was filtered in a membrane filter press (SCHULE, cloth type: propex 46K2). The broth was filtered with a pressure difference of 0.3 bar. Within 30 minutes 700 l broth was filtered over a total filter area of 6.8 m<sup>2</sup> which resulted in an average flow of about 205 l/m<sup>2</sup>h.

The filter cake was washed in 7 minutes with 3 cake volumes (= 300 l) of process water, which resulted in an average flow of 375 l/m<sup>2</sup>h.

The advantage of a membrane filter press over a plate and frame press is that the cake after filtration can be squeezed at high pressure, so the dry matter content of the cake will increase. The cake was squeezed at 5.5 bar during



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30 minutes which resulted in a dry matter content of the recovered biomass of about 45 %.

In another experiment 1100 l of broth was filtered in a membrane filter press (SCHULE, cloth type: propex 46K2). The  
5 broth was filtered with a pressure difference of 0.3 bar. Within 45 minutes 1100 l broth was filtered over a total filter area of 12.3 m<sup>2</sup> which resulted in an average flow of about 120 l/m<sup>2</sup>h. The filter cake was washed in 18 minutes with 3 cake volumes (= 600 l) of a 1 % NaCl solution, which  
10 resulted in an average flow of 162 l/m<sup>2</sup>h.

The cake was squeezed at 6 bar during 30 minutes, which resulted in a dry matter content of the recovered filtercake of about 55 %.

Both squeezing as well as washing of the cake with a 1 %  
15 salt solution had a significant effect on the dry matter content of the filtercake.

#### EXAMPLE 12

##### Extrusion of biomass with different dry matter contents

20

Extrusion was performed with biomass with different dry matter contents, which were obtained by the method presented in Example 7 (see Table 2). Extrusion was performed using a single screw extruder with a profiled barrel and a universal  
25 screw. The dieplates applied in extrusion had a different number of holes and the diameters of the holes were in the range of 2 mm.

The diameter of the particles obtained after extrusion was about 2 mm.

30 The performance and extrudate quality is depending on the percentage dry matter of the biomass used for extrusion. Although a 25% dry matter gave the poorest results, for other microorganisms such a low dry matter content can be acceptable.

35

% Dry matter	Performance of extrusion	Quality of extrudate
25	bad	very sticky material
35	good	sticky material
45	very good	non sticky extrudate
55	very good	loose extrudate

Table 2. Results of extrusion experiments with biomass with different dry matter contents.

EXAMPLES 13 AND 14 AND COMPARATIVE EXAMPLE 15  
Drying of conventional and extruded biomass  
of *Mortierella alpina*

Vacuum drying:

Conventionally recovered biomass (Comparative Example 15, not extruded) was dried in a vacuum tray dryer but took about 50 hours at 40 °C. The drying was very slow because of lumps. The dry matter content of in this way dried biomass was about 92.5 %.

For comparison about 20 g of extrudate (from Example 11,  $\phi_{\text{particle}}$  of 2 mm) with a dry matter content of 55 % was dried on labscale in a rotavapor. The temperature of the waterbath was 68 °C and the applied pressure 40 mbar. The performance of the drying was reasonable, except that the dried biomass stuck to the wall and sweated a little oil. The dry matter content after drying was 92.3 %.

Fluidized bed drying:

In Example 13 drying was performed with biomass (Example 1) at different temperatures. Where no pretreatment of the biomass has occurred, big lumps of biomass did not become completely dry. In this case the dried biomass was very inhomogeneous considering the particle size.

If the biomass was pretreated before drying by means of extrusion, the performance of drying substantially improved.

In this case the particle size of the dried biomass was more uniform.

The conclusion of these results is that fluidized bed drying can be performed with different forms of isolated biomass, but that drying will be improved using an extrudate.

In another experiment (Example 14), drying of different quantities (15 and 30 kg) extrudate was performed in a fluidized bed dryer with air (8000 Nm<sup>3</sup>/m<sup>2</sup>h). During drying samples were taken and the dry matter content calculated. In Fig. 1 the relationship between temperature and dry matter content of the (two) different quantities is shown.

The bed temperature was set on 80 °C. The diameter of the extruded biomass was 1.3 mm. The dry matter content of the extruded biomass after drying was about 96%.

#### EXAMPLE 16

##### Extraction of lipid from dried extrudate of *Mortierella alpina*

##### Stirred extraction of dried extrudate at different temperatures:

Samples of 100 g of dried extrudate with respectively 93.4 and 97.8 % dry matter were extracted during 3 hours with 500 ml hexane or 500 ml propanol-2, at temperatures of 20°, 35° and 50° C for hexane and 20°, 40° and 70° C for propanol-2. The slurry was stirred by means of a two blade stirrer in a 'four-necked' round bottom flask and heated by means of a heating mantle. Eventually evaporated hexane or propanol-2 was recycled by means of a reflux cooler.

During the extraction, every 30 minutes a 15 ml sample of the supernatant was taken from the flask after the stirrer was stopped and the particles had settled. 1 ml of the samples was pipetted into preweighed 2 ml eppendorf tubes. After overnight drying under vacuum at 40 °C the eppendorf tubes were weighed and total oil was calculated. The results of the experiments are shown in Fig. 2.

- 34 -

Conclusion for hexane extraction:

- the temperature had no effect on the total amount of lipid that can be extracted, i.e. a relatively low extraction temperature gives a good yield of lipid,
- 5 - the temperature had only a small effect on the time in which the total amount of lipid can be extracted,
- the total amount of lipid was extracted within 30 minutes from the biomass, with 5 volumes of hexane at a temperature above 20 °C.

10

Conclusion for propanol-2 extraction:

- the temperature had a significant effect on total amount of lipid that can be extracted,
- the temperature had a significant effect on the time in
- 15 - which the total amount of lipid can be extracted,
- the total amount of lipid was extracted within 2 hours from the biomass with 5 volumes of propanol-2 at 73 °C.

20 The composition of the oil depended on the solvent used in extraction (see Table 3). The more polar the extraction solvent the more phospholipids were extracted. The polarity of the solvent can be chosen to optimise the composition of the oil.

Substance	hexane oil	propanol-2 oil
Tri-glycerides	93 %	85 %
di-glycerides	2 %	2 %
mono-glycerides	2 %	2 %
sterols	3 %	3 %
phospholipids	2 %	6.5 %

Table 3 Extraction of dried *Mortierella* biomass at room temperature using two different solvents.

On a larger scale problems were observed with the filtration of the micella, due to disintegration of the extrudate into small particles due to the high stirrer speed during the extraction process.

These problems were avoided using percolation extraction instead of stirred extraction.

#### Percolation extraction of dried extrudate with hexane:

Several percolation extractions were performed on pilot scale (see Fig. 3 for a diagram of the process). About 40 - 45 kg of dried extruded biomass was extracted with hexane (initial hexane/biomass ratio of 4.4 l/kg) at 20 °C. The flow of the gear pump was set on 1.5 m<sup>3</sup>/h. There was a small nitrogen purge on holdup vessel of about 0.1 bar.

The extraction was performed during 4 hours (temperature increase during extraction from 18 to 25 °C). Each 30 minutes samples were taken from the micella. Of each sample, 100 ml was evaporated at labscale in a rotavapor ( $T_{\text{waterbath}}$  was 64 °C) during 20 minutes under vacuum (about 50 mbar). The amount of oil was estimated. The results are presented in Fig. 4.

It can be noticed that after 2 hours an 'equilibrium' was reached. Afterwards, the extracted biomass was washed with about 0.6 bed volumes of hexane. During the extraction the bed height did not change.

The micella were polish filtered prior to evaporation.

During the extraction we noticed that the micella became more and more clear, due to depth-filtration over the bed of particles.

5

EXAMPLE 17 AND COMPARATIVE EXAMPLE 18  
Recovery of  $\beta$ -carotene oil from *Blakeslea trispora*

10 1 of a fermentation broth of the fungus *Blakeslea trispora*, previously pasteurised (75°C for 15 minutes), was harvested using laboratory filtration equipment. To improve the filterability of the broth  $\text{CaCl}_2$  was added (end concentration of 5 g/l). In this way recovered biomass was mechanically dewatered (squeezed) at lab scale up to a 45% dry  
15 matter content using a typical fruit press (citrus press, HAFICO D.G.M)). The cake recovered in this way was extruded by means of a syringe of stainless steel equipped with a die-plate with 4 holes of 1.8 mm diameter each. The resulting extrudate was dried in a lab scale fluid bed dryer ( $T_{\text{air}} = 40$   
20 °C, drying time of 90 minutes, airflow of 150  $\text{Nm}^3/\text{h}$ , AEROMATIC MP-1). The dry matter content of the biomass dried in this way was about 95 %.

A sample of about 50 g of dried extrudate was extracted using percolation extraction with ethyl acetate (initial  
25 volume/biomass ratio of 30 l/kg). After 2 hours of extraction at 50 °C the extract was harvested by means of vacuum filtration. The biomass was washed with 1 bed volume of ethyl acetate. The extract recovered in this way was washed twice with demineralised water (extract/water ratio of 5 v/v) prior  
30 evaporation. The ethyl acetate was evaporated at 50° C ( $T_{\text{waterbath}}$ ) until a concentration of 8 g  $\beta$ -carotene/l was reached.

$\beta$ -carotene crystals were recovered from the concentrate by means of controlled crystallisation and subsequent  
35 filtration.

The same experiment was performed with biomass that was blended and dried, and so not extruded (Example 18). The

- 37 -

filterability after extraction of blended dried biomass was worse in comparison with dried extrudates.

5                   EXAMPLE 19 AND COMPARATIVE EXAMPLE 20  
                  Isolation of TAPS from dried extrudate from the yeast *Pichia*

Biomass from 2 l of a non-pasteurised fermentation broth of the yeast *Pichia ciferrii* was harvested by centrifugation  
10 at 5000 rpm during 10 minutes. The solid phase filtercake was washed with a salt solution containing 5 % NaCl. The biomass recovered in this way with 33 % dry matter was mechanically dewatered at lab scale using a typical fruit press (HAFICO, D.G.M). During one minute the cake was dewatered at 200  
15 kg/cm<sup>2</sup>.

The dry matter content had increased to 47 %. The dewatered cake was extruded (Example 19) by means of a single screw laboratory extruder using a universal screw and a profiled barrel. The diameter of the hole in the dieplate was  
20 2 mm.

The resulting extrudate was dried under vacuum during 40 hours at 40 °C, resulting in a dry matter content of about 92 %. 25 grams of the dried extrudate was extracted during 6.5 hours at 50 °C with ethyl acetate (ratio biomass/solvent of  
25 1:5 (w/v)).

The extract recovered in this way was evaporated at 50 °C under vacuum (250 mbar), resulting in a TAPS oil (About 60 % Tetra Acetyl-Phyto Sphingosine/l oil).

Spray drying of TAPS-containing *Pichia ciferrii*  
30 (Comparative Example 20):

About 1 kg of broth of *Pichia ciferrii* was spray dried in a Büchi 190 laboratory spray dryer at an air temperature of 180 °C (inlet temperature) and 110 °C (outlet temperature). During the feed of the spray dryer the broth  
35 was stirred continuously. The broth was fed to the dryer at 1.2 l/h. The concentration of TAPS of the initial amount of TAPS was analysed in the spray dried product. In the spray

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dried product only 13 % of TAPS was recovered. Due to the high temperatures the TAPS was degraded. So this process is not an option for the isolation of TAPS due to the high process temperature.

5

#### EXAMPLE 21

##### Recovery of DHA oil from *Crypthecodinium*

10 Biomass from 7 l of a fermentation broth (previously pasteurised, 65°C for 1 hour) of the algae *Crypthecodinium cohnii* was harvested using a lab scale centrifuge of the type BECKMANN JM/6E. The broth was centrifuged in portions of 800 ml during 2 minutes at 5000 rpm resulting in a clear  
15 supernatant.

In total 224 g of biomass with a dry matter content of 13 % was recovered. This means a biomass concentration at harvest of the fermentation broth of about 4 g/kg. To this recovered biomass 300 g of starch (ROQUETTE, batch nr.  
20 10EV0024)) was added to increase the dry matter content. The cake recovered in this way was extruded by means of a single screw lab extruder using a universal screw and a profiled barrel. The diameter of the hole in the dieplate was 2 mm and the thickness of the dieplate was 6 mm resulting in  
25 an L/D of the dieplate of 3. The resulting smooth extrudate was dried under vacuum overnight at 50 °C, resulting in a crackle dried extrudate. The dry matter content of the biomass dried in this way was about 94 %.

A sample of about 180 g of the dried extrudate was  
30 extracted with hexane (initial volume/biomass ratio of 5 l/kg). After 3 hours of extraction at 60 °C the micella was filtered over a Whatman filter. The resulting extracted biomass was washed once with 1000 ml of fresh hexane. The filtered micella recovered in this way was evaporated at  
35 68°C (T<sub>waterbath</sub>). In this way a crude DHA containing oil was recovered. The DHA concentration in the oil was 32.6 % analysed by means of GC. The in this way recovered oil



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contained about 67 % of tri-glycerides, 12 % di-glycerides, 3.7 % of sterols and about 0.2 % of antifoam (NMR). An other characteristic of the oil was the level of carotenoids (0.15 mg/ml of  $\beta$ -carotene and 5 mg/ml of  $\gamma$ -carotene).

- 40 -

EXAMPLE 22Recovery of Vitamin B12 from *Propionibacterium* sp.

Broth from a large scale fermentation of a  
5 *Propionibacterium* sp. (28 tons) was harvested by means of a  
clarifier of the type BRPX-213-SGV (ALFA LAVAL, 3 - 7 tons /h)  
at a G-factor of about 5000. The broth clarified in this way  
was concentrated 2.5 times by means of ultra-filtration using  
a ABCOR KOCH module with about 150 m<sup>2</sup> spiral-wound poly  
10 ethylene sulphone membranes with a cut-off of 5 kD (type HFK  
131-VSV). The resulting ultra filtrate was diafiltrated for  
500 % according the concentrated volume with process water.  
The resulting diafiltrate was concentrated by a factor of 3  
by means of vacuum evaporation, and the resulting concentrate  
15 heat shocked at 90°C for 2 minutes.

The resulting concentrate was granulated and dried in a  
NIRO 250 multi stage dryer (fluidised bed spray  
dryer/agglomerator). The inlet air temperature of the dryer  
had a temperature of about 250 °C and the outlet air  
20 temperature was about 70 °C. The air flow applied was about  
3000 m<sup>3</sup>/h. This resulted in a product temperature of about 70  
- 80 °C. The density of the concentrate fed to the dryer was  
about 1050 kg/m<sup>3</sup>.

A sample of about 2 g of dried granulate was used for  
25 extraction with 125 ml of about 75 % of ethanol (the water  
content gives an optimal extraction/technical performance) in  
a conical flask by means of stirring during 60 minutes at  
ambient temperature (clear extract). After extraction the  
extracted biomass was filtered using a Whatman paper filter  
30 (easy filtration). The clear pink filtrate recovered in this  
way was analysed for vitamin B12. The resulting biomass was  
washed with 25 ml of about 75 % ethanol. In this way about 90  
% of the vitamin B12 was extracted from the granulated  
biomass (Table 4).

sample number		g	ml	density in kg/m <sup>3</sup>	[vitamin B12] in mg/kg	total vitamin B12 in mg
VTB 9606 <sup>®</sup> /001	input granulate	2.01	---	---	842	1.69
VTB 9606 <sup>®</sup> /002	output extraction	1.46	---	---	104.5	0.15
VTB 9606 <sup>®</sup> /003	extract	---	110	856	11.7	1.10
VTB 9606 <sup>®</sup> /004	wash	---	24	856	6.01	0.12

Table 4 Data concerning extraction of vitamin B12 from Multi stage agglomerated *Propionic bacterium*.

#### EXAMPLE 23

##### Co-extrusion *C. cohnii* and *M. alpina*

10 l of a fermentation broth of the fungus *Mortierella alpina* and 10 l of a fermentation broth of *Cryptocodium cohnii* were mixed together. To improve the filterability of the mixed broth CaCl<sub>2</sub> was added (end concentration of 5 g/l). The mixed broth was filtered and the resulting cake was mechanically dewatered using a typical fruit press (citrus press, HAFICO).

The cake recovered in this way was extruded by means of a single screw lab extruder using a universal transport screw in a profiled barrel and a dieplate with one hole of 2 mm. The diameter of the extrudate was about 2 mm. The extrudate recovered in this way was dried in a lab-scale fluid bed dryer (T<sub>air</sub> = 40 °C, drying time of about one hour, airflow of 150 Nm<sup>3</sup>/h, AEROMATIC MP-1). The dry matter content of the biomass dried in this way was about 92 %.

A sample of about 100 g of dried extrudate was used for extraction with hexane (initial volume/biomass ratio of 4 l/kg). After 2 hours of extraction at ambient temperature the micella was recovered by means of vacuum filtration. The

remaining extracted extrudate was washed with 4 volumes of fresh hexane (initial volume/biomass ratio of 4 l/kg). The washed hexane was mixed with the micella and the resulting micella was evaporated at 50 °C ( $T_{\text{water bath}}$ ). In this way a  
5 crude PUFA oil was recovered containing ARA (C20:4 ω6) and DHA (C22:6 ω3).

The crude oil can be refined according methods usual for edible/vegetable oils.

10

#### EXAMPLE 24

##### Recovery of crude lovastatin from *Aspergillus terreus*

The pH of 7.5 l of a (non-pasteurised) fermentation broth of the fungus *Aspergillus terreus*, containing 2 g of  
15 lovastatin per kg broth, was adjusted to pH 2.1 with sulphuric acid to precipitate the lovastatin and stirred at this pH for 15 minutes. Subsequently the broth was filtrated, and the filtrate, containing only 3.5% of the total amount of lovastatin present in the broth, discarded.

20 The wet filter cake (230 gram, 18.5% dry matter) was mechanically dewatered to a dry matter content of 45% using a typical fruit press (citrus press, HAFICO), and subsequently extruded by means of a syringe of stainless steel equipped with a die-plate containing four holes of 1.8 mm each. The  
25 resulting extrudates were dried overnight at 40 °C in a vacuum oven to a dry matter content of about 95%.

10 g of the dried extrudates were extracted with 1 l of a mixture of toluene (0.85 L) and acetone (0.15 L) during 15 minutes at ambient temperature. After separation of the  
30 extrudates by filtration, a lovastatin-containing extract was obtained with a lovastatin content of 1.5 g/l.

#### EXAMPLE 25

##### Recovery of ergosterol from commercial FERMIPAN

35

FERMIPAN is a commercially available dried yeast produced by Gist brocades. A FERMIPAN sample (batch nr.

9510702) of about 2 grams was extracted by means of 100 ml of 70 % ethanol during 30 minutes at ambient temperature. The resulting clear extract was separated from the extracted FERMIPAN by means of filtration. The clear filtrate recovered in this way was analysed by means of NMR. In about 22 g of the extract 0.09 mg of ergosterol was found. Important in the extraction of ergosterol is the water content in the ethanol. This concentration was not optimised. The experiment was an indicative experiment to show extraction of the valuable compound ergosterol from granulated (extruded dried yeast) yeast.

#### EXAMPLE 25

##### Advantages of granulated/extruded biomass

PUFA biomass was processed according the route described in Example 1 (filtration, extrusion and drying).

The porosity of the dried extruded PUFA biomass was measured on a porosimeter (Pharmitalia Carlo Erba, Italy) 2000 and a (Carlo Erba) macroporse unit 120. The results are presented in Table 5.

The pore-volume distribution is presented in Figure 5. Figure 6, for comparison, gives the pore-volume distribution of dried yeast (FERMIPAN, Gist-brocades).

The line (with the Y-axis left) displays the cumulative pore volume and the histogram (with the Y- axis right) is a relative differential pore volume distribution. It is shown that the majority of pores are found in the 10,000 - 100,000 nm pore-diameter region.

Sample	sample weight in g	sample volume in cm <sup>3</sup>	sample density in g/cm <sup>3</sup>	total pore volume in cm <sup>3</sup> /g	porosity in %
PUFA dried biomass I	0.5008	0.555	0.90	0.304	27
PUFA dried biomass II	0.8684	1.011	0.86	0.348	30

Table 5 : Results of porosity measurement (twice) of same PUFA extruded dried biomass (mercury porosity method).

81 g dried extruded PUFA biomass was subjected to  
5 extraction in a column by means of hexane at ambient  
temperature (20 °C) in a cross-current percolation extraction  
to obtain the PUFA oil. Thirteen bed volumes of fresh hexane  
(one bed volume is the quantity of hexane needed to drown the  
whole bed) were drained through the bed of biomass. The  
10 amount of crude oil in each bed volume drained hexane was  
determined by weight after evaporation of hexane.

Conditions of extraction:

- the intra particle volume of the biomass bed was 80 ml  
15 (= 1 bed-volume)
- flow rate of 1 bed volume per 300 seconds.
- 50 % of the total amount of oil was extracted in 300  
seconds in one bed volume
- the bulk-density of the dried biomass was 570 kg / m<sup>3</sup>.
- 20 - the particle diameter was 0.0025 m
- extraction temperature of 20 °C
- solubility of oil in hexane is infinite.
- oil content of biomass 35.5 w/w (%).

25 The hexane was evaporated at labscale in a rotavapor at  
60 °C (T<sub>waterbath</sub>) under vacuum to yield the PUFA. The PUFA  
particles (dried extrudates) as described were porous uniform  
particles, which were ideal for extraction. There was a large  
surface area available for exchange of oil. The diffusion  
30 limitation for extraction therefore is low.

COMPARATIVE EXAMPLE 26

35 The various culturing conditions that were used to  
obtain the biomass and broths described in the previous  
examples will now be given in the following table.

Micro organism	Product	Process type	Nutrients (g/l)	Temperature (°C)	pH	Time (hrs)
<i>Pichia ciferrii</i> (=Hansenula ciferrii)	Tetra-Acetyl- Phyto- Sphingosine (=TAPS) (extracellular)	fed batch (glucose feed)	glucose: 30 yeast extract: 3 malt extract: 3 peptone: 5	25	6.5 - 6.8	96
<i>Mortierella alpina</i>	arachidonic acid (intracellular)	batch	glucose: 50 Yeast extract: 5 NaNO <sub>3</sub> : 5 K <sub>2</sub> HPO <sub>4</sub> : 3 MgSO <sub>4</sub> ·7H <sub>2</sub> O: 0.5 ammonium salt minerals	25	5.5- 7	120- 168
<i>Blakeslea trispora</i>	$\beta$ -carotene (intracellular)	batch	Pharmamedia: 75 Glucose: 10 KH <sub>2</sub> PO <sub>4</sub> : 0.5 MnSO <sub>4</sub> ·H <sub>2</sub> O: 0.1 soybean oil: 30 cotton seed oil: 30 dextrins: 60 Triton X-100: 1.2 ascorbic acid: 6 lactic acid: 2 thiamine-HCl: 2mg isoniazid: 0.075%	26-28	6.5	7 days

Micro organism	Product	Process type	Nutrients (g/l)	Temperature (°C)	pH	Time (hrs)
<i>Aspergillus terreus</i>	Lovastatin	fed batch (glucose + ammonia)	glucose: 20 yeast extract: 11.3 KH <sub>2</sub> PO <sub>4</sub> : 3.5 Na <sub>2</sub> SO <sub>4</sub> : 1.0 MgSO <sub>4</sub> ·7H <sub>2</sub> O: 1.4 CaCl <sub>2</sub> ·2H <sub>2</sub> O: 0.1 PPG (2000): 0.1 trace elements	28	5.5	8 days
<i>Propionibacterium</i> sp.	Vitamin B <sub>12</sub> (intracellular)	fed batch (anaerobe, glucose feed + after 4 days: 10mg/l 5, 6 - dimethylbenzimidazole)	glucose: 10 corn steep liq.: 80 (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> : 16 KH <sub>2</sub> PO <sub>4</sub> : 0.4 Na <sub>2</sub> HPO <sub>4</sub> ·12H <sub>2</sub> O: 1.5 MgSO <sub>4</sub> ·7H <sub>2</sub> O: 0.5 minerals Ca pantothenate: 10mg	30	5-7.5	168
<i>Cryptocodinium cornii</i>	Docosahexaenoic acid (=DHA) (intracellular)	fed batch (68g/l glucose + 24g/l yeast extract)	Ocean® artificial seawater: 250 (instant) yeast extract: 6 glucose: 12	20-28	7-7.8	70-80



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CLAIMS

1. A process for the isolation of one or more compound(s) from a microbial biomass which comprises a microorganism that has produced such a compound, the process comprising:
- 5 a) providing, or obtaining a biomass with a dry matter content of from 25 to 80%;
- b) granulating the biomass into a granular particles having an average dry matter content of from 25 to 80%;
- 10 c) drying the granular particles to give dried granules having an average dry matter content of at least 80%; and
- d) purifying, extracting or isolating the or each compound from the dried granules resulting from (c).
2. A process according to claim 1 or 2 wherein in (b) the granulation is effected by extrusion of the biomass.
- 15 3. A process according to claim 2 wherein the biomass is subjected to crumbling or kneading before granulation.
4. A process according to any preceding claim wherein the biomass in (a) is obtained by solid/liquid separation performed on a fermentation broth.
- 20 5. A process according to claim 4 wherein the solid/liquid separation is combined with mechanical dewatering.
6. A process according to any preceding claim wherein in (a) the biomass with a dry matter content of 25 to 80% is obtained by:
- i) the addition of a solid material to the biomass; or
- ii) dewatering of the biomass.
- 30 7. A process according to any preceding claim wherein the drying of the granulated biomass in (c) to a dry matter

content of at least 80% is performed by fluidized bed or subfluidized bed drying or vacuum drying.

8. A process according to any preceding claim wherein the biomass comprises, or originates from, a fungus.

5 9. A process according to claim 8 wherein the fungus belongs to the order *Mucorales*.

10. A process according to claim 9 wherein the fungus belongs to the genus *Mortierella*.

11. A process according to any one of claims 8 to 10  
10 wherein the fungus is *Mortierella alpina*.

12. A process according to any one of the claims 1 to 6 wherein the biomass comprises, or originates from, an algae.

13. A process according to claim 12 wherein the algae is a dinoflagellate and/or belongs to the genus *Crypthecodinium*.

15 14. A process according to any of claims 12 to 13 wherein the algae is *Crypthecodinium cohnii*.

15. A process according to preceding claim wherein the compound is a polyunsaturated fatty acid (PUFA), optionally contained in a lipid.

20 16. A process according to claim 15, wherein the polyunsaturated fatty acid is a C18, C20 or C22  $\omega$ -3 or a C18, C20 or C22  $\omega$ -6 polyunsaturated fatty acid.

17. A process according to claim 16 wherein the compound  
is a C20 or C22  $\omega$ -3 or C20 or C22  $\omega$ -6 polyunsaturated fatty  
25 acid.

18. A process according to any preceding claim wherein the compound is arachidonic acid (ARA), eicosapentaenoic acid (EPA) and/or docosahexaenoic acid (DHA).

19. A process according to claim 8 wherein the fungus belongs to the genus *Phycomyces*, *Blakeslea* or *Aspergillus*.
20. A process according to any one of claims 1 to 13 or 19 wherein the compound is a carotenoid or a HMG-CoA reductase inhibitor (e.g. lovastatin, pravastatin or compactin).
21. A process according to any preceding claim wherein the microorganism is a yeast.
22. A process according to claim 21 wherein the compound is tetra-acetyl-phyto-sphingosine (TAPS).
23. A process according to any preceding claim wherein the microorganism is a bacteria.
24. A process according to claim 23 wherein the compound is a vitamin.
25. A process according to an preceding claim wherein the biomass is obtained from a fermentation broth after acidification (such as to a pH <5).
26. A process according to claim 25 wherein acidification (e.g. to a pH of about 2) results in precipitation of the compound.
27. A microbial extrudate which comprises, or is obtained from, a fungus.
28. An extrudate according to claim 27 wherein the fungus is of the genus *Mortierella*.
29. A composition comprising granular particles of biomass, the particles having an average dry matter content of at least 30% but less than 70% and having been obtained by granulating biomass.

30. A composition which comprises dried granules, the granules being derived from a microbial biomass and dried, and having an average dry matter content of at least 80%.
31. A composition according to claim 30 or 31 wherein  
5 the granular particles or dried granules are formed by extrusion.
32. A composition according to any one of claims 29 to 31 wherein the biomass comprises a fungus.
33. A composition according to any one of claims 29 to  
10 32 wherein the granular particles have a diameter of from 0.3 to 10mm and their length is, on average, 2 to 6 times that of the diameter of the granule.
34. The use of a compound isolated, extracted or purified by a process according to any of claims 1 to 26 for  
15 the preparation of a food composition, nutritional supplement, cosmetic and/or pharmaceutical composition.
35. The use according to claim 34 wherein the food composition comprises an infant formula.
36. A process for the isolation of one or more  
20 compound(s) from granules of biomass, the process comprising:  
a) providing dried granules having a dry matter content of at least 80%, the granules having been derived from a microbial biomass comprising microorganisms that have produced  
25 such a compound; and  
b) extracting or isolating the or each compound from the dried granules by solvent extraction.

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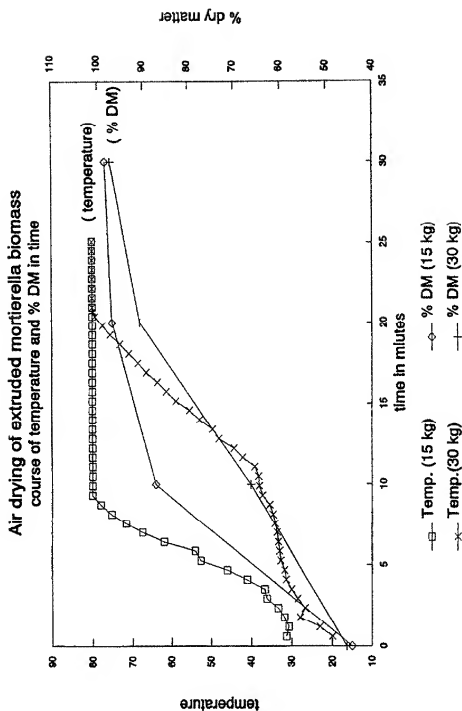


FIGURE 1

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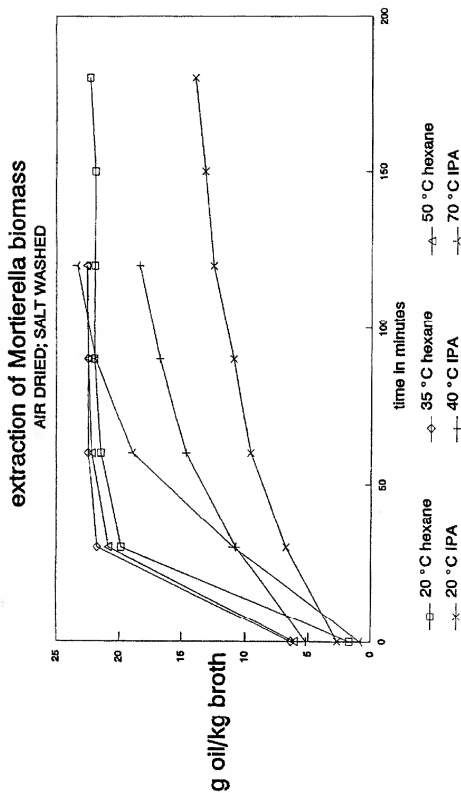


FIGURE 2

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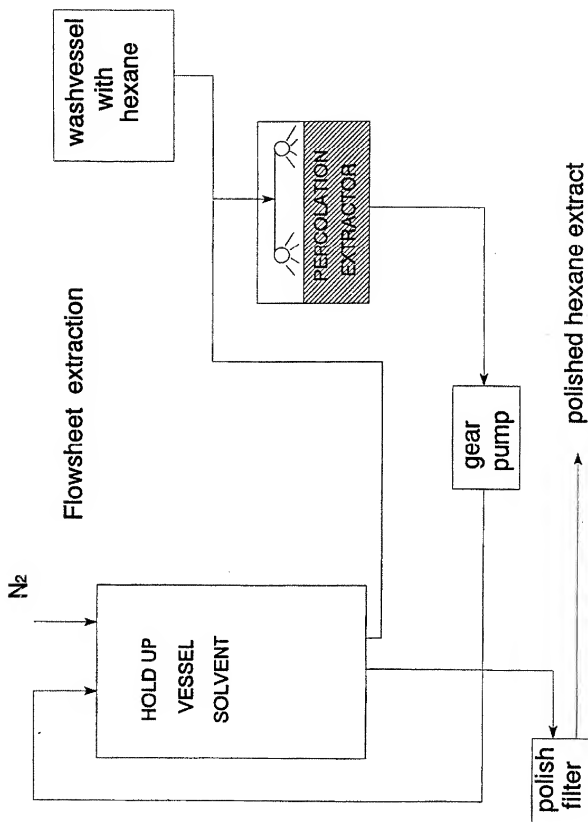


FIGURE 3



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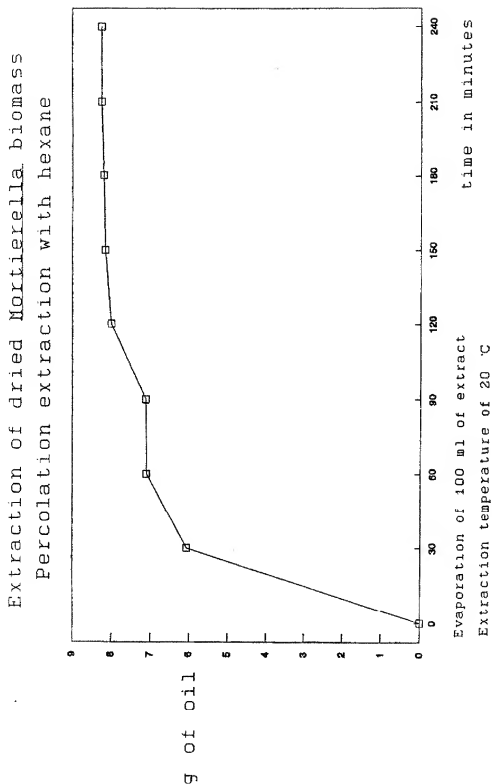


FIGURE 4

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FIGURE 5

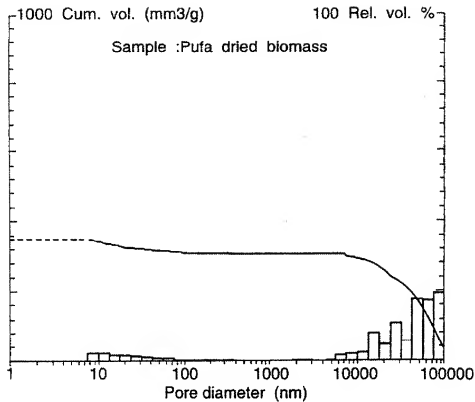
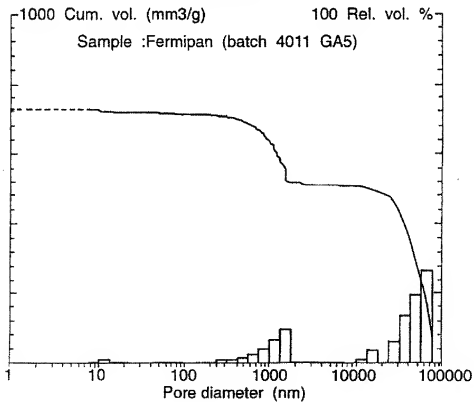


FIGURE 6





## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: <b>PCT/EP97/01446</b></p> <p>(22) International Filing Date: <b>21 March 1997 (21.03.97)</b></p> <p>(30) Priority Data: 96200837.1 <b>28 March 1996 (28.03.96)</b> <b>EP</b> (34) Countries for which the regional or international application was filed: <b>NL et al.</b></p> <p>(71) Applicant (for all designated States except US): <b>GIST-BROCADES B.V. [NL/NL]; Wateringseweg 1, P.O. Box 1, NL-2600 MA Delft (NL).</b></p> <p>(72) Inventors; and (75) Inventors/Applicants (for US only): <b>BIJL, Hendrik, Louis [NL/NL]; Insulindestraat 72, NL-3131 ZD Vlaardingen (NL). SCHAAP, Albert [NL/NL]; Smitshoek 13, NL-2993 BG Barendrecht (NL). VISSER, Johannes, Martinus, Jacobus [NL/NL]; Gangboord 102, NL-3823 TJ Amersfoort (NL).</b></p> <p>(74) Agents: <b>GOLDIN, Douglas, Michael et al.; J.A. Kemp &amp; Co., 14 South Square, Gray's Inn, London WC1R 5LX (GB).</b></p>	<p>(81) Designated States: <b>AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GR, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</b></p> <p><b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p> <p>(88) Date of publication of the international search report: <b>22 January 1998 (22.01.98)</b></p>	
(54) Title: <b>PROCESS FOR THE PREPARATION OF A GRANULAR MICROBIAL BIOMASS AND ISOLATION OF VALUABLE COMPOUNDS THEREFROM</b>		
<p>(57) Abstract</p> <p>A process for the isolation of desired compound(s) from a microbial biomass is disclosed, wherein the microbial biomass (which, if necessary, is pretreated to give a dry matter content of from 25 to 80 %) is granulated (e.g. by extrusion) and then dried to a dry matter content of at least 80 %. The granulation of the biomass to granules significantly eases subsequent drying of the biomass (which can be stored as dried granules) and gives higher yields on extraction of the compound(s).</p>		

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<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 6 C12N1/12 C12N1/14 C12P7/64 C12N1/00													
According to International Patent Classification (IPC) or to both national classification and IPC													
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 6 C12N C12P													
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched													
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)													
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>													
Category *	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 80%; padding: 5px;">Citation of document, with indication, where appropriate, of the relevant passages</th> <th style="width: 20%; padding: 5px;">Relevant to claim No.</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px; vertical-align: top;">           X GB 1 466 853 A (SIMON ROSEDDOWNS LTD) 1974              cited in the application            Y see the whole document              ---         </td> <td style="padding: 5px; vertical-align: top; text-align: center;">           1-7,30, 34-36 8-24         </td> </tr> <tr> <td style="padding: 5px; vertical-align: top;">           X FR 2 210 662 A (BRITISH PETROLEUM CO) 12              July 1974              see the whole document              ---         </td> <td style="padding: 5px; vertical-align: top; text-align: center;">           1-7,30, 34-36         </td> </tr> <tr> <td style="padding: 5px; vertical-align: top;">           X DD 150 627 A (AKADEMIE DER WISSENSCHAFTEN              DER DDR) 1981              see the whole document              ---         </td> <td style="padding: 5px; vertical-align: top; text-align: center;">           1-7,30, 34-36         </td> </tr> <tr> <td style="padding: 5px; vertical-align: top;">           X DE 19 23 529 A (VEBINGENIEURTECHNISCHES              ZENTRALBURO MINERALÖLE U. ORGANISCHE              GRUNSDTOF) 1969              see the whole document              ---         </td> <td style="padding: 5px; vertical-align: top; text-align: center;">           1-7, 34-36         </td> </tr> <tr> <td colspan="2" style="padding: 5px; text-align: center;">           -/-         </td> </tr> </tbody> </table>	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	X GB 1 466 853 A (SIMON ROSEDDOWNS LTD) 1974 cited in the application Y see the whole document ---	1-7,30, 34-36 8-24	X FR 2 210 662 A (BRITISH PETROLEUM CO) 12 July 1974 see the whole document ---	1-7,30, 34-36	X DD 150 627 A (AKADEMIE DER WISSENSCHAFTEN DER DDR) 1981 see the whole document ---	1-7,30, 34-36	X DE 19 23 529 A (VEBINGENIEURTECHNISCHES ZENTRALBURO MINERALÖLE U. ORGANISCHE GRUNSDTOF) 1969 see the whole document ---	1-7, 34-36	-/-	
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<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 5px; vertical-align: top;"> <input checked="" type="checkbox"/> Further documents are listed in the continuation of box C.         </td> <td style="width: 50%; padding: 5px; vertical-align: top;"> <input checked="" type="checkbox"/> Patent family members are listed in annex.         </td> </tr> </table>		<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C.	<input checked="" type="checkbox"/> Patent family members are listed in annex.										
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<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 5px; vertical-align: top;"> <b>* Special categories of cited documents :</b>             "A" document defining the general state of the art which is not considered to be of particular relevance            "E" earlier document but published on or after the international filing date            "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)            "O" document referring to an oral disclosure, use, exhibition or other means            "P" document published prior to the international filing date but later than the priority date claimed         </td> <td style="width: 50%; padding: 5px; vertical-align: top;">           "I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention            "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone            "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.            "A" document member of the same patent family         </td> </tr> </table>		<b>* Special categories of cited documents :</b>  "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "A" document member of the same patent family										
<b>* Special categories of cited documents :</b>  "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "A" document member of the same patent family												
Date of the actual completion of the international search  <div style="text-align: center; font-weight: bold; font-size: 1.2em;">28 October 1997</div>	Date of mailing of the international search report  <div style="text-align: center; font-weight: bold; font-size: 1.2em;">02-12-1997</div>												
Name and mailing address of the ISA European Patent Office, P.B. 5518 Patentaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-2010	Authorized officer:  <div style="text-align: center; font-weight: bold; font-size: 1.2em;">Gurdjian, D</div>												

## INTERNATIONAL SEARCH REPORT

Intern. al. Application No.

PCT/EP 97/01446

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	JAREONKITMONGKOL S ET AL: "PRODUCTION OF DIHOMO-GAMMA-LINOLENIC ACID BY A DELTA-5 DESATURASE-DEFECTIVE MUTANT OF MORTIERELLA-ALPINA 1S-4" APPLIED AND ENVIRONMENTAL MICROBIOLOGY, 58 (7). 1992. 2196-2200., XP000676362 see the whole document ---	8-11, 15-18
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Y	WO 93 20183 A (ZEAGEN INC) 14 October 1993 see claims 1-35,71-97 ---	19,20
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X	US 4 056 638 A (HUANG HUA-FENG ET AL) 1 November 1977 see abstract; figures 2-5 see column 5, line 58 - column 6, line 5; claim 1; examples 1-4 ---	27,29-32
Y		28

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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	KYLE D J; RATLEDGE C ED.: "Industrial applications of single cell oils." 1992 , AMERICAN OIL CHEMISTS SOCIETY , CHAMPAIGN XP002044902	28
A	see page 61; figures 5-14 -----	10,15

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/EP 97/01446

**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos. :  
because they relate to subject matter not required to be searched by this Authority, namely
2. ☐ Claims Nos. :  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically
3. ☐ Claims Nos. :  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

See annex

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos. .
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos. .

Remark on Protest

☒ The additional search fees were accompanied by the applicant's protest

☐ No protest accompanied the payment of additional search fees.



FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Invention 1: claims 1-26 , 34-36

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processes for the isolation of compounds from a microbial biomass ,the process comprising obtaining a biomass with a dry matter content from 25%-80% , granulating these particles with a dry matter content from 25%-80% , drying the the granules to a dry matter content of at least 80% , purification , extraction or isolation of the compound and use of the extracted compounds in food or cosmetic/pharmaceutical compositions .

Invention 2: claims 27-33

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Microbial extrudates or microbial biomass granular compositions having an average of 30-70% dry matter- or at least 80% dry matter

## INTERNATIONAL SEARCH REPORT

Information on patent family members

Intern: al Application No

PCT/EP 97/01446

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
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